07-05-01   A

UTILITY	Attorney Docket No.	GRIHAC P22AUSD1
PATENT APPLICATION	First Named Inventor or Appln Identified: Greg HETHERINGTON	
TRANSMITTAL	Title: METHOD AND APPARATUS FOR PROCESSING FREE-FORMAT DATA	
(Only for new nonprovisional applications under CFR 1.53(b))	Express Mail Label No.	

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent appln. contents.	ADDRESS TO:	Assistant Commissioner for Patents Box Patent Application Washington, DC 20231

1. ■ Fee Transmittal Form
      (submit an original, and a duplicate for fee processing)

2. ■ Applicant claims small entity status
      (see 37 CFR 1.27.)

3. ■ Specification   (Total Pages) [76]
      (preferred arrangement set forth below)
      • Descriptive title of the invention
      • Cross References to Related Applications
      • Statement Regarding Fed sponsored R & D
      • Reference to Microfiche Appendix
      • Background of the Invention
      • Brief Summary of the Invention

      • Brief Description of the Drawings *(if filed)*

      • Detailed Description
      • Claim(s)
      • Abstract of the Disclosure

4. ■ Drawing(s) (35 USC 113)   (Total Pages) [20]

5. ■ Oath or Declaration   (Total Pages) [2]
      a. ☐ Newly executed (original or copy)
      b. ■ Copy from a prior application
          (37 CFR 1.63(d))
          (for continuation/divisional with Box 17 completed)

      i. ☐ DELETION OF INVENTOR(S)
      Signed statement attached deleting
      inventor(s) named in the prior application,
      see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet (see 37 CFR).
7. ☐ CD-ROM or CD-R in duplicate, large table or
      Computer Program (*Appendix*)
8. ☐ Nucleotide an/or Amino Acid Sequence
      Submission *(if applicable, all necessary)*

      a. ☐ Computer Readable Form (CRF)
      b. ☐ Specification Sequence Listing on:
          i. ☐   CD-ROM or CD-R (2 copies); or
          ii. ☐   paper
      c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
      (when there is an assignee)
11. ☐ English Translation Document *(if applicable)*
12. ■ Information Disclosure   ☐ Copies of IDS
      Statement (IDS)/PTO-1449   Citations
13. ■ Preliminary Amendment
14. ■ Return Receipt Postcard (MPEP 503)
      (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
      (if foreign priority is claimed)
16. ■ Other: Express Mail Certificate

17. If a **CONTINUING APPLICATION**, *check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:*

☐ Continuation   ■ Divisional   ☐ Continuation-in-Part (CIP)   of prior application No.: 09/117,776

Prior application information: Examiner Ella Colbert   Group/Art Unit: 2172

For **CONTINUATION OR DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

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Name: DAVIS & BUJOLD, P.L.L.C.
       500 North Commercial Street - 4th floor
       Manchester, NH 03101-1151
       United States of America

PATENT & TRADEMARK OFFICE

020210

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Telephone: 603/624-9220
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E-Mail: patent@tiac.net

Name: Michael J. Bujold

Registration No.:32,018

Signature: Date: July 3, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	:	Greg HETHERINGTON
For	:	METHOD AND APPARATUS FOR PROCESSING FREE-FORMAT DATA
Group Art Unit	:	2172
Examiner	:	Ella Colbert
Docket	:	GRIHAC P22AUSD1

The Commissioner of Patents and Trademarks
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number: EL918841796US

Date of Deposit: ___**7/3/01**___

I hereby state that the following attached paper or fee:

Patent Application Transmittal-1 pg.;
Fee Transmittal Ltr (+Dupl)-1 pg.;
Check for $932;
Specification/Claims/Abstract- 76pgs.;
Drawings (Figs. 1-22)- 20pgs.;
Copy of Declaration & Power of Atty from Parent Appln.- 2pgs.;
Information Disclosure Statement-1pg.;
PTO Form 1449-1 pg.;
Preliminary Amendment- 12pgs.;
Marked-up Version of New Paragraph - 1pg;
New Abstract - 1pg;
Return Postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, on the date indicated above and is addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231.

_____Michael J. Bujold_____

_____
Signature of person mailing paper or fee

NOTE: *The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings, it is suggested that the label number be placed on the back of each formal drawing or the drawings be accompanied by a set of informal drawings on which the label number is placed.*

FEE TRANSMITTAL

for FY 2000-01

Patent fees are subject to annual revision
Small Entity payments **must** be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

TOTAL AMOUNT OF PAYMENT: $932.00

Complete if Known	
Application No.	
Filing Date	
First Named Inventor	Greg HETHERINGTON
Group Art Unit	2172
Examiner Name	Ella Colbert
Attorney Docket No.	GRIHAC P22AUSD1

METHOD OF PAYMENT (check one)

1. ■ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 04-0213

Deposit Account Name: **DAVIS & BUJOLD, P.L.L.C.**

■ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. ■ Payment Enclosed:

■ Check   ☐ Money Order   ☐ Other

FEE CALCULATION

1. FILING FEE

Large Fee Code	Entity Fee ($)	Small Fee Code	Entity Fee ($)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	355
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provision filing fee	
				SUBTOTAL (1)	**$355**

2. CLAIMS

		Extra	Fee From Below		Fee Paid	
Total Claims	53-20 =	33	X $18 ($9)	=	297	
Ind. Claims	10- 3 =	7	X $80 ($40)	=	280	
Mult.Ind.Claims	0	=	$270 ($135) x	=	0	

** or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee ($)	Small Fee Code	Entity Fee ($)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) $ 577

3. ADDITIONAL FEES

Large Fee Code	Entity Fee ($)	Small Fee Code	Entity Fee ($)	Fee Description	Fee Paid
105	130	205	65	Surcharge-late filing fee/oath	
127	50	227	25	Surcharge-late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for re-examination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Ext.for reply w/in 1 mon	
116	390	216	195	Ext.for reply w/in 2 mon	
117	890	217	445	Ext.for reply w/in 3 mon	
118	1,390	218	695	Ext.for reply w/in 4 mon	
128	1,890	228	945	Ext.for reply w/in 5 mon	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a Brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (for reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petition related to provisional applns.	
126	180	126	180	Submission of Info.Disclo.Stmt.	
581	40	581	40	Recording ea. patent assignment per property (times No.of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For ea.additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify)
*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) $0

SUBMITTED BY

Typed or Printed Name	Michael J. Bujold			Registration Number	32,018
Signature	*[signature]*		Date: July 3, 2001	Deposit Account User ID	04-0213

Completed (if applicable)